## Medvedev and Muchnik Degrees of Nonempty $\Pi_1^0$ Subsets of $2^{\omega}$

Stephen G. Simpson
Department of Mathematics
Pennsylvania State University
http://www.math.psu.edu/simpson/

Draft: April 18, 2001

This research was partially supported by NSF grant DMS-0070718. Subject Classification: 03D30, 03D65.

This is a report for my presentation at the upcoming meeting on *Berechen-barkeitstheorie* ("Computability Theory"), Oberwolfach, January 21–27, 2001.

We use  $2^{\omega}$  to denote the space of infinite sequences of 0's and 1's. For  $X, Y \in 2^{\omega}$ ,  $X \leq_T Y$  means that X is Turing reducible to Y. For  $P, Q \subseteq 2^{\omega}$  we say that P is Muchnik reducible to Q, abbreviated  $P \leq_w Q$ , if for all  $Y \in Q$  there exists  $X \in P$  such that  $X \leq_T Y$ . We say that P is Medvedev reducible to Q, abbreviated  $P \leq_M Q$ , if there exists a recursive functional  $\Phi: Q \to P$ . Note that  $P \leq_M Q$  implies  $P \leq_w Q$ , but not conversely.

Sorbi [13] gives a useful survey of Medvedev and Muchnik degrees of arbitrary subsets of  $2^{\omega}$ . Here we initiate a study of Medvedev and Muchnik degrees of nonempty  $\Pi_1^0$  subsets of  $2^{\omega}$ . Theorems 1 and 2 below have been proved in collaboration with my Ph. D. student Stephen Binns.

Let  $\mathcal{P}$  be the set of nonempty  $\Pi_1^0$  subsets of  $2^{\omega}$ . Let  $\mathcal{P}_M$  (respectively  $\mathcal{P}_w$ ) be the set of Medvedev (respectively Muchnik) degrees of members of  $\mathcal{P}$ , ordered by Medvedev (respectively Muchnik) reducibility. We say that  $P \in \mathcal{P}$  is Medvedev complete (respectively Muchnik complete) if  $P \geq_M Q$  (respectively  $P \geq_w Q$ ) for all  $Q \in \mathcal{P}$ . For example, the set of complete extensions of Peano Arithmetic is Medvedev complete. Clearly every Medvedev

complete set is Muchnik complete, but not conversely.  $\mathcal{P}_M$  and  $\mathcal{P}_w$  are countable distributive lattices with a bottom element,  $\mathbf{0}$ , the degree of  $2^{\omega}$ , and a top element,  $\mathbf{1}$ , the degree of any Medvedev complete set. The lattice operations are given by  $P \times Q = \{X \oplus Y : X \in P \text{ and } Y \in Q\}$  and  $P + Q = \{\langle 0 \rangle ^{\frown} X : X \in P\} \cup \{\langle 1 \rangle ^{\frown} Y : Y \in Q\}$ . Here  $P \times Q$  (respectively P + Q) is the join (respectively meet) of P and Q.

In both  $\mathcal{P}_M$  and  $\mathcal{P}_w$ , it is easy to see that  $P, Q > \mathbf{0}$  implies  $P + Q > \mathbf{0}$ , but we do not know whether  $P, Q < \mathbf{1}$  implies  $P \times Q < \mathbf{1}$ . In addition, there are many other open problems. For example, we do not know whether the Sacks Density Theorem holds for  $\mathcal{P}_M$  or for  $\mathcal{P}_w$ . This subject appears to be a rich source of problems for recursion theorists.

**Theorem 1** In  $\mathcal{P}_w$ , for every  $P > \mathbf{0}$ , every countable distributive lattice is lattice embeddable below P.

Proof (sketch). We begin by defining infinitary "almost lattice" operations in such a way that, given a recursive sequence  $\langle P_i : i \in \omega \rangle$  of members of  $\mathcal{P}$ ,  $\prod_{i \in \omega} P_i$  and  $\sum_{i \in \omega} P_i$  are again members of  $\mathcal{P}$ . We define the infinite product in the obvious way,  $\prod_{i \in \omega} P_i = \{X \in 2^\omega : (X)_i \in P_i \text{ for all } i \in \omega\}$ , where  $(X)_i(n) = X((n,i))$  for all  $n \in \omega$ . To define the infinite sum, let  $\langle T_i : i \in \omega \rangle$  be a recursive sequence of recursive subtrees of  $2^{<\omega}$  such that, for each  $i, P_i$  is the set of paths through  $T_i$ . Let R be a fixed, Medvedev complete member of P. Let T be a recursive subtree of  $2^{<\omega}$  such that R is the set of paths through T. Put  $T = \{\tau \cap \langle k \rangle : \tau \in T, k \in \{0,1\}, \tau \cap \langle k \rangle \notin T\}$ . Let  $\langle \sigma_i : i \in \omega \rangle$  be a recursive enumeration of T without repetition. We define the infinite sum  $\sum_{i \in \omega} P_i$  to be the set of paths through  $T^* = T \cup \{\sigma_i \cap \tau : i \in \omega, \tau \in T_i\}$ .

To prove the theorem, construct a recursive sequence  $\langle Q_i : i \in \omega \rangle$  of members of  $\mathcal{P}$  such that  $X \not\leq_T Y$  for all  $X \in Q_i$ ,  $Y \in Q_j$ ,  $i \neq j$ . This is a finite injury priority construction, similar to that of Jockusch/Soare [6, Theorem 4.7]. For  $i \in \omega$  define  $\hat{Q}_i = \sum_{j \neq i} Q_j$ . For recursive  $A \subseteq \omega$  define  $\hat{Q}(A) = \prod_{i \in A} \hat{Q}_i$ . We have  $\hat{Q}(A) \in \mathcal{P}$  and  $\hat{Q}(A \cup B) \equiv_w \hat{Q}(A) \times \hat{Q}(B)$  and  $\hat{Q}(A \cap B) \equiv_w \hat{Q}(A) + \hat{Q}(B)$ . Moreover  $A \neq B$  implies  $\hat{Q}(A) \not\equiv_w \hat{Q}(B)$ . Thus  $A \mapsto \hat{Q}(A)$  is a lattice embedding of the lattice of recursive subsets of  $\omega$  into  $\mathcal{P}_w$ . Note also that every countable distributive lattice is lattice embeddable into the lattice of recursive subsets of  $\omega$ . To push the embedding below P, assume in addition that  $X \not\leq_T Y$  for all  $X \in P$ ,  $Y \in Q_i$ ,  $i \in \omega$ . This property can be obtained by a Sacks preservation strategy. Our lattice embedding below P is given by  $A \mapsto \hat{Q}(A) + P$ .

It seems likely that Theorem 1 holds with  $\mathcal{P}_w$  replaced by  $\mathcal{P}_M$ . In this direction we have the following partial result.

**Theorem 2** In  $\mathcal{P}_M$ , for every  $P > \mathbf{0}$ , the free countable distributive lattice is lattice embeddable below P, as are the lattice of finite subsets of  $\omega$ , the lattice of cofinite subsets of  $\omega$ , and all finite distributive lattices.

Proof (sketch). With notation as before, we have  $\hat{Q}(A \cup B) \equiv_M \hat{Q}(A) \times \hat{Q}(B)$  and  $\hat{Q}(A \cap B) \equiv_M \hat{Q}(A) + \hat{Q}(B)$ , provided  $A, B \subseteq \omega$  are finite. Thus  $A \mapsto \hat{Q}(A) + P$  is the desired lattice embedding of the lattice of finite subsets of  $\omega$  into  $\mathcal{P}_M$  below P. Note also that every finite distributive lattice is lattice embeddable into the lattice of finite subsets of  $\omega$ .

Now assume that  $\langle Q_i : i \in \omega \rangle$  has the following stronger properties: (1)  $X_i \not\leq_T Y$  for all  $X_i \in Q_i$ ,  $Y \in \prod_{j \neq i} Q_j$ , (2)  $X \not\leq_T Y$  for all  $X \in P$ ,  $Y \in \prod_{i \in \omega} Q_i$ . As before, these properties can be obtained by a finite injury priority argument and a preservation strategy. For  $i \in \omega$  define  $\check{Q}_i = \prod_{j \neq i} Q_i$ . For recursive  $A \subseteq \omega$  define  $\check{Q}(A) = \sum_{i \in A} \check{Q}_i$ . Note that the definition of  $\check{Q}(A)$  is dual to that of  $\hat{Q}(A)$ . We have  $\check{Q}(A) \in \mathcal{P}$  and  $\check{Q}(A \cap B) \equiv_w \check{Q}(A) \times \check{Q}(B)$  and  $\check{Q}(A \cup B) \equiv_w \check{Q}(A) + \check{Q}(B)$ . For finite  $A, B \subseteq \omega$  we have  $\check{Q}(A \cap B) \equiv_M \check{Q}(A) \times \check{Q}(B)$  and  $\check{Q}(A \cup B) \equiv_M \check{Q}(A) + \check{Q}(B)$ . Thus  $\omega \setminus A \mapsto \check{Q}(A) + P$  is the desired lattice embedding of the lattice of cofinite subsets of  $\omega$  into  $\mathcal{P}_M$  below P.

Finally, with  $Q_i$ ,  $i \in \omega$  as above, the Medvedev degrees of  $Q_i + P$ ,  $i \in \omega$  freely generate a free distributive sublattice of  $\mathcal{P}_M$  below P.

Remark The study of the distributive lattices  $\mathcal{P}_M$  and  $\mathcal{P}_w$  is in some ways parallel to the study of  $\mathcal{R}_T$ , the upper semilattice of Turing degrees of recursively enumerable subsets of  $\omega$ . (For background on this topic, see Soare [12].) However, as is well known, there are no specific examples of recursively enumerable degrees  $\neq \mathbf{0}, \mathbf{0}'$ . (See the FOM discussion with Soare [4, July 1999].) In this respect,  $\mathcal{P}_M$  and  $\mathcal{P}_w$  are much better, as shown by the following two theorems, due to Kučera [7] and Jockusch [5] respectively.

**Theorem 3** Among all Muchnik degrees of  $\Pi_1^0$  subsets of  $2^{\omega}$  of positive measure, there is a unique largest one. This particular element of  $\mathcal{P}_w$  is  $\neq 0, 1$ .

**Theorem 4** For  $k \geq 2$  let  $DNR_k$  be the  $\Pi_1^0$  set of k-valued DNR functions. In the Medvedev degrees  $\mathcal{P}_M$  we have  $\mathbf{1} \equiv_M DNR_2 >_M DNR_3 >_M \cdots >_M DNR_k >_M \cdots >_M \mathbf{0}$ . All of these Medvedev degrees are Muchnik complete.

Simpson [11, Theorem 3.20] proves the following analog of Myhill's Theorem on creative sets (see Rogers [9]). This is closely related to a result of Pour-El/Kripke [8].

**Theorem 5** If  $P, Q \in \mathcal{P}$  are Medvedev complete, then P and Q are recursively homeomorphic.

Proof (sketch). First we define what it means for  $P \in \mathcal{P}$  to be productive. Then we use the Recursion Theorem to prove the following two results: (1) P is productive if and only if P is Medvedev complete. (2) Any two productive sets  $P, Q \in \mathcal{P}$  are recursively homeomorphic. The details are in Simpson [11, Section 3].

Simpson [11, Theorem 6.9] applies Theorem 5 plus Jockusch/Soare forcing [6, Theorem 2.4] to prove the following result concerning subsystems of second order arithmetic. (For background on this topic, see Simpson [10].)

**Theorem 6** There is a countable  $\omega$ -model S of WKL<sub>0</sub> with the following property. For all  $X, Y \in S$ , X is definable from Y over S if and only if  $X \leq_T Y$ .

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